

#3

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: Not Yet Known  
Applicants: Peter Schack et al.  
Filed: Herewith  
Title: ACTUATION UNIT FOR AN ELECTROMECHANICALLY-ACTUATED DISC  
BRAKE  
T.C./A.U.:  
Examiner:

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of the references listed on the 1449 form, other than U.S. patents, is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

References DE 101 48 472 A1 and DE 196 29 936 C1 are not in the English language, but an English abstract thereof is attached to each copy of the reference submitted herewith.

These references were cited by a foreign patent office in a counterpart foreign application. A copy of this English language search report, indicating the degree of relevance determined by the foreign patent office is submitted herewith. MPEP Section 609A(3), second paragraph.

This Information Disclosure Statement is being filed concurrently with the above-referenced application.

Respectfully submitted,

Ellen E. Fielitz  
Robert P. Seitter, Reg. No. 24,856  
Ellen E. Fielitz, Reg. No. 54,746  
Attorneys for Applicants

RPS/EEF/dhm/krh

Enclosures: PTO Form 1449  
(5) References  
International Search Report

Dated: January 25, 2005

<input checked="" type="checkbox"/> P.O. Box 980 Valley Forge, PA 19482 (610) 407-0700
<input type="checkbox"/> P.O. Box 1596 Wilmington, DE 19899 (302) 778-2500

**EXPRESS MAIL**

Mailing Label Number:

EV504352911US

Date of Deposit:

January 25, 2005

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450.

Kathleen Libby  
Kathleen Libby

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for Form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

## Complete if Known

Application Number	Not Yet Known	10/522292
Filing Date	Herewith	
First Named Inventor	Peter Schack	
Art Unit		
Examiner Name		
Attorney Docket No.	PC10486US	

SHEET 1 of 1

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		DE 196 29 936 C1 (English Abstract Attached)	11/20/1997	Siemens AG		<input checked="" type="checkbox"/>
		WO 98/27357 (English Abstract included)	06/25/1998	ITT Manufacturing Enterprises, Inc.		<input type="checkbox"/>
		EP 0 916 867 A2	05/19/1999	Toyota Jidosha Kabushiki Kaisha		
		WO 99/45292 (English Abstract included)	09/10/1999	Continental Teves AG & Co. oHG		<input type="checkbox"/>
		DE 101 48 472 A1 (English Abstract Attached)	04/17/2003	Knorr-Bremse System für Nutzfahrzeuge GmbH		<input checked="" type="checkbox"/>
Examiner Signature				Date Considered		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.